## 6 Invariance of D.E. under coordinate transformations

Transformation of coordinates  $x^{\mu}$  and 4-momentum operator  $\hat{p}^{\mu} = i\hbar\partial^{\mu}$  from a system S to another system S':

$$x^{\prime \mu} = \Lambda^{\mu}_{\ \nu} x^{\nu} , \qquad \hat{p}^{\prime \mu} = \Lambda^{\mu}_{\ \nu} \, \hat{p}^{\nu} \tag{6.1}$$

Here  $\Lambda^{\mu}_{\nu}$  is a "generalized" Lorentz transformation, including also rotations. For a pure rotation:  $x^{0'} = x^0, x'^i = R^i_{\ j} x^j$ , where  $R^i_{\ j}$  is a 3 × 3 rotation matrix:

$$\Lambda^{\mu}_{\ \nu} = \left(\begin{array}{cc} 0 & 0\\ 0 & R^{i}_{\ j} \end{array}\right) \tag{6.2}$$

Basic requirement: The Dirac equation must keep its basic form under coordinate transformations!

$$S: \qquad (\not p - mc) \psi(x) = 0$$
 (6.3)

$$S': \qquad (\not p' - mc) \, \psi'(x') = 0 \tag{6.4}$$

Define a  $4 \times 4$  matrix  $\hat{S}$  such that

$$\psi'(x') = \hat{S}\,\psi(x) \tag{6.5}$$

Then from Eq.(6.3) and (6.4) we obtain

$$\hat{S}^{-1}\hat{p}'\hat{S} = \hat{p} \tag{6.6}$$

From this, we derive a relation to determine  $\hat{S}$  as follows: Using  $\hat{p}' = \gamma^{\sigma} p'_{\sigma}$  and Eq. (6.1),

$$\begin{pmatrix} \hat{S}^{-1} \gamma^{\sigma} \hat{S} \end{pmatrix} \Lambda_{\sigma}^{\nu} \hat{p}_{\nu} &= \gamma^{\nu} \hat{p}_{\nu} \\ \Rightarrow \begin{pmatrix} \hat{S}^{-1} \gamma^{\sigma} \hat{S} \end{pmatrix} \Lambda_{\sigma}^{\nu} &= \gamma^{\nu} \\ \Rightarrow \begin{pmatrix} \hat{S}^{-1} \gamma^{\sigma} \hat{S} \end{pmatrix} \Lambda_{\sigma}^{\nu} \Lambda_{\nu}^{\mu} &= \Lambda_{\nu}^{\mu} \gamma^{\nu}$$

Using here the basic property of the matrix  $\Lambda$  (see Chapt.1, Eq.(1.8))

$$\Lambda^{\ \nu}_{\sigma}\Lambda^{\mu}_{\ \nu} = g^{\ \mu}_{\sigma}$$

we obtain finally

$$\left(\hat{S}^{-1}\gamma^{\mu}\hat{S}\right) = \Lambda^{\mu}_{\ \nu}\gamma^{\nu} \tag{6.7}$$

<u>Note</u>: This relation tells that the "matrix transformation" of  $\gamma^{\mu}$  (l.h.s. of (6.7)) is the same as the "4-vector transformation" of  $\gamma^{\mu}$  (r.h.s. of (6.7)).

Take the dagger  $(\dagger)$  of (6.7), and use

$$\gamma^{\mu\dagger} = \gamma^0 \, \gamma^\mu \, \gamma^0 = \left(\gamma^0, -\vec{\gamma}\right)$$

Then we obtain from (6.7)

$$\hat{S}^{\dagger} \gamma^{0} \gamma^{\mu} \gamma^{0} \left( \hat{S}^{-1} \right)^{\dagger} = \Lambda^{\mu}_{\ \nu} \gamma^{0} \gamma^{\nu} \gamma^{0}$$

Multiply this from left and right by  $\gamma^0$  to get

$$\left(\gamma^0 \, \hat{S}^{\dagger} \, \gamma^0\right) \gamma^{\mu} \, \left(\gamma^0 \, \left(\hat{S}^{-1}\right)^{\dagger} \, \gamma^0\right) = \Lambda^{\mu}_{\ \nu} \, \gamma^{\nu}$$

Comparing this with Eq.(6.7) we obtain the relation

$$\gamma^0 \,\hat{S}^\dagger \,\gamma^0 = \hat{S}^{-1} \tag{6.8}$$

We will discuss the form of the matrix  $\hat{S}$  later.

A similar discussion is possible also for parity transformation:

$$x^{\mu} \longrightarrow x^{\prime \mu} = \left(x^{0}, -\vec{x}\right) = x_{\mu} = g_{\mu\nu}x^{\nu}$$

Comparing with (6.1), we see that for parity transformations we can substitute  $\Lambda^{\mu}_{\nu} \longrightarrow g_{\mu\nu}$ . If we define a 4 × 4 matrix  $\hat{P}$  like (6.5) by

$$\psi'(x') = \hat{P}\,\psi(x)$$

then we can use (6.7) to get

$$\left(\hat{P}^{-1}\gamma^{\mu}\hat{P}\right) = g_{\mu\nu}\gamma^{\nu} = \gamma_{\mu} = \left(\gamma^{0}, -\vec{\gamma}\right)$$
(6.9)

This is satisfied for

$$\hat{P} = \gamma^0$$

Eq.(6.8) is also satisfied, because  $\hat{P} = \hat{P}^{\dagger} = \hat{P}^{-1}$ .

## 7 Bilinear forms

A "bilinear form" is defined as

$$j(x) \equiv \overline{\psi}(x) \,\Gamma\,\psi(x) \tag{7.1}$$

where  $\Gamma$  is a Dirac matrix.

The following 16 Dirac matrices often appear in applications:

$$\Gamma = \left(1, \ \gamma^{\mu}, \ \sigma^{\mu\nu}, \ \gamma^{5}, \ \gamma^{\mu}\gamma_{5}\right) \tag{7.2}$$

Here  $\sigma^{\mu\nu}$  is defined by the commutator of two  $\gamma$ -matrices:

$$\sigma^{\mu\nu} = \frac{i}{2} \left[ \gamma^{\mu}, \gamma^{\nu} \right] \tag{7.3}$$

and  $\gamma_5 = \gamma^5$  is defined by

$$\gamma^5 = i\gamma^0\gamma^1\gamma^2\gamma^3 = \begin{pmatrix} 0 & 1\\ 1 & 0 \end{pmatrix}$$
(7.4)

From these relations one can show, for example, that

$$\{\gamma^{\mu}, \gamma_5\} = 0, \qquad [\sigma^{\mu\nu}, \gamma_5] = 0, \qquad [\hat{S}, \gamma_5] = 0$$

Using then relations (6.7) and (6.9), one can show the properties of the bilinear forms (7.1) under Lorentz transformations, rotations, and parity transformations.

For example, for  $\Gamma = c \gamma^{\mu}$ , the bilinear (7.1) is the probability current  $j^{\mu}(x) = c\overline{\psi}(x) \gamma^{\mu} \psi(x)$ . After a Lorentz transformation it becomes

$$\begin{aligned} j^{\prime\mu}(x^{\prime}) &= c \,\psi^{\prime\dagger}(x^{\prime})\gamma^{0}\gamma^{\mu}\psi^{\prime}(x^{\prime}) \\ &= c\psi^{\dagger}(x)\,\hat{S}^{\dagger}\,\gamma^{0}\,\gamma^{\mu}\,\hat{S}\,\psi(x) \\ &= c\,\psi^{\dagger}(x)\,\gamma_{0}\,\hat{S}^{-1}\,\gamma^{\mu}\,\hat{S}\,\psi(x) \\ &= c\,\Lambda^{\mu}_{\nu}\,\overline{\psi}(x)\,\gamma^{\nu}\,\psi(x) = c\,\Lambda^{\mu}_{\nu}\,j^{\nu}(x) \end{aligned}$$

Therefore the current  $j^{\mu}(x)$  behaves as a 4-vector under Lorentz transformations. Under pure rotations, the density  $j^{0}(x)$  is invariant, and  $\vec{j}(x)$  behaves a 3-vector. Under parity transformations,  $j^{0}(x)$  is invariant, and  $\vec{j}(x)$  changes the sign.

By similar calculations, one can obtain also the results for the behavior of other bilinears under Lorentz transformations (LT) and parity transformations (PT). If we define  $(-1)^{\mu} \equiv 1$  for  $\mu = 0$  and  $(-1)^{\mu} \equiv -1$  for  $\mu = 1, 2, 3$  we obtain

- $\overline{\psi}\psi$ : Scalar under LT, scalar under PT  $\Rightarrow$  This is called a "scalar".
- $\overline{\psi}\gamma^{\mu}\psi$ : 4-vector under LT,  $(-1)^{\mu}$  under PT  $\Rightarrow$  This is called a "vector".
- $\overline{\psi}\gamma_5\psi$ : Scalar under LT, (-1) under PT  $\Rightarrow$  This is called a "pseudo-scalar".
- $\overline{\psi}\gamma^{\mu}\gamma_5\psi$ : 4-vector under LT,  $-(-1)^{\mu}$  under PT  $\Rightarrow$  This is called a "pseudo-vector".
- $\overline{\psi}\sigma^{\mu\nu}\psi$ : Tensor (rank 2) under LT,  $(-1)^{\mu}(-1)^{\nu}$  under PT  $\Rightarrow$  This is called a (second rank) "tensor".

<u>Note</u>: For free particles with momentum  $\vec{p}$  and positive energy  $E_p$  we have

 $\overline{\psi}(x)\Gamma\psi(x) = \frac{1}{V}\frac{mc^2}{E_p} (\overline{u}(\vec{p},s)\Gamma u(\vec{p},s)).$ Consider the case  $\Gamma = \gamma^{\mu}\gamma_5$ . For  $\mu = i$  this is related to the spin operator (in units of  $\hbar$ )  $\hat{\vec{S}} = \frac{1}{2}\vec{\Sigma} = \frac{1}{2} \begin{pmatrix} \vec{\sigma} & 0\\ 0 & \vec{\sigma} \end{pmatrix}$  by

$$\hat{\vec{S}} = \frac{1}{2} \gamma^0 \, \vec{\gamma} \, \gamma_5$$

The following pseudo-vector can then be called the "spin 4-vector":

$$S^{\mu}(p) = \frac{1}{2}\overline{u}(\vec{p},s)\gamma^{\mu}\gamma_5 u(\vec{p},s)$$
(7.5)

The reason for this is:

• In the rest system of the particle,  $S^0(\vec{p}=0)=0$  and

$$\vec{S}(\vec{p}=0) = \frac{1}{2}u^{\dagger}(\vec{p}=0,s)\,\vec{\Sigma}\,u(\vec{p}=0,s) = \frac{1}{2}\phi(s)^{\dagger}\,\vec{\sigma}\,\phi(s) = \frac{1}{2}\vec{n}\equiv\vec{S}_{0}$$
(7.6)

which gives the spin direction in the rest system<sup>1</sup>. Therefore,  $S^{\mu}(\vec{p}=0) = (0, \vec{S}_0)$ .

• The vector  $S^{\mu}(p)$  is obtained from  $S^{\mu}(\vec{p}=0)$  by a Lorentz transformation with velocity  $\vec{v}=$  $-\vec{p}c/E_p$ :

$$S^{\mu}(p) = \Lambda^{\mu}_{\ \nu}(\vec{v} = -\frac{\vec{pc}}{E_p}) \, S^{\nu}(\vec{p} = 0) = \left(\frac{\vec{p} \cdot \vec{S}_0}{mc}, \, \vec{S}_0 + \frac{\vec{p}\left(\vec{p} \cdot \vec{S}_0\right)}{m(E_p + mc^2)}\right) \tag{7.7}$$

Then the 3-vector  $\vec{S}(p)$  is the "expectation value" of the spin operator between spinors,  $\vec{S}(p)$  =  $\frac{1}{2}u^{\dagger}(\vec{p},s)\vec{\Sigma}u(\vec{p},s)$ , and depends on the momentum ! (Remember that  $[H,\Sigma_i] \neq 0$ , and the spinors are eigenvectors of the Hamiltonian H but not of the spin operator.)

<sup>&</sup>lt;sup>1</sup>Remember that the 2-component Pauli spinor  $\phi(s)$  is an eigenvector of  $\frac{1}{2}(\vec{\sigma} \cdot \vec{n})$  with eigenvalue  $s = \pm 1/2$ .